L1: Static Checking

Today
- Static typing
- Snapshot diagrams
- The big three properties of good software

Required reading (from the Java Tutorial)
Make sure that you read and understand these parts of Java syntax and semantics:
- Language Basics (4 pages)
  http://docs.oracle.com/javase/tutorial/java/nutsandbolts/index.html
- Numbers and Strings (~10 pages)
  http://docs.oracle.com/javase/tutorial/java/data/index.html
- Defining methods (1 page)
  http://docs.oracle.com/javase/tutorial/java/javaOO/methods.html
- Calling methods (1 page)
  http://docs.oracle.com/javase/tutorial/java/javaOO/arguments.html
- Hello World! (1 page)
  http://docs.oracle.com/javase/tutorial/getStarted/application/index.html

Hailstone Sequence
As a running example today, we’re going to explore the hailstone sequence, which is defined as follows. Starting with a number n, the next number in the sequence is n/2 if n is even, or 3n+1 if n is odd. The sequence ends when it reaches 1. Here are some examples:

2, 1
3, 10, 5, 16, 8, 4, 2, 1
4, 2, 1
2^n, 2^(n-1), ..., 4, 2, 1
5, 16, 8, 4, 2, 1
7, 22, 11, 34, 17, 52, 6, 13, 40, ...? (where does this stop?)

Because of the odd-number rule, the sequence may bounce up and down before decreasing to 1. It’s conjectured that all hailstones eventually fall to the ground – i.e., the hailstone sequence reaches 1 for all starting n -- but that’s still an open question.

Why is it called a hailstone sequence? Because hailstones form in clouds by bouncing up and down, until they eventually build enough weight to fall to earth.
Computing Hailstones

Here’s some code for computing and printing the hailstone sequence for some starting \( n \). We’ll write Java and Python side by side for comparison:

```java
// Java
int n = 3;
while (n != 1) {
    System.out.println(n);
    if (n % 2 == 0) {
        n = n / 2;
    } else {
        n = 3 * n + 1;
    }
}
System.out.println(n);
```

```python
# Python
n = 3
while n != 1:
    print n
    if n % 2 == 0:
        n = n / 2
    else:
        n = 3 * n + 1
print n
```

A few things are worth noting here:

- The basic semantics of expressions and statements in Java are very similar to Python: while and if behave the same, for example.
- Java requires semicolons at the ends of statements. The extra punctuation can be a pain, but it also gives you more freedom in how you organize your code – you can split a statement into multiple lines for more readability.
- Java requires parentheses around the conditions of the if and while. There’s no good reason for this, and personally I find it annoying.
- Java requires curly braces around blocks, instead of indentation.

You should always indent the block, even though Java won’t pay any attention to your extra spaces. **Programming is a form of communication, and you're communicating not only to the compiler, but to human beings.** Humans need that indentation. We’ll come back to this later.

Static Typing

The most important semantic difference between the Python and Java code above is the declaration of the variable \( n \), which specifies its type: int.

A type is a set of **values**, along with **operations** that can be performed on those values. Java has several primitive types, among them:

- int (for integers like 5 and -200, but limited to the range \( \pm 2^{31} \), or roughly \( \pm 2 \) billion)
- long (for larger integers up to \( \pm 2^{63} \))
- boolean (for true or false)
- double (for floating-point numbers, which represent a subset of the real numbers)
- char (for characters like ‘A’ and ‘$’)

Java also has object types, for example:

- String represents a sequence of characters, like a Python string.
- BigInteger represents an integer of arbitrary size, so it acts like a Python number.
Operations are functions that take inputs and produce outputs (and sometimes change the values themselves). The syntax for operations varies, but we still think of them as functions:

\[
\begin{align*}
    \text{a + b} & \quad + : \text{int} \times \text{int} \to \text{int} \\
    \text{bigint1.add(bigint2)} & \quad \text{add: BigInteger} \times \text{BigInteger} \to \text{BigInteger} \\
    \text{str.length()} & \quad \text{length: String} \to \text{int}
\end{align*}
\]

Contrast Java’s `str.length()` with Python’s `len(s)`. It’s the same operation, just written with a different syntax.

Some operations are *overloaded* in the sense that the same operation name is used for different types. The arithmetic operators `+`, `-`, `*`, `/ ` are heavily overloaded for the numeric primitive types in Java. Methods can also be overloaded. Most programming languages have some degree of overloading.

Java is a *statically-typed* language. The types of all variables are known at compile time (before the program runs), and the compiler can therefore deduce the types of all expressions as well. If `a` and `b` are declared as ints, then the compiler concludes that `a+b` is also an int. The Eclipse environment does this while you’re writing the code, in fact, so you find out about many errors while you’re still typing.

In *dynamically-typed* languages like Python, this kind of checking is deferred until runtime (while the program is running).

Bugs are the bane of programming. Many of the ideas in this course are aimed at eliminating bugs from your code, and *static checking* is the first idea that we’ve seen for this. Static checking prevents a large class of bugs from infecting your program: to be precise, bugs caused by applying an operation to the wrong types of arguments. If you write a broken line of code like:

```
“5” * “6”
```

which tries to multiply two strings, then static checking will catch this error while you’re still programming, rather than waiting until the line is reached during execution.

It’s useful to think about three kinds of automatic checking that a language can provide:

- Static checking: the bug is found automatically before the program even runs.
- Dynamic checking: the bug is found automatically when the code is executed
- No checking: the language doesn’t help you find the error at all; you have to watch for it yourself, or end up with wrong answers.

Let’s try some examples of buggy code and see how they behave in Java. Are these bugs caught statically, dynamically, or not at all?

```java
int n = 5;
if (n == true) {} // this is a type error, because integers can never be equal to booleans

int big = 2000000000; // 2,000,000,000
big = big * 2; // this is an overflow error, because an int can’t hold a number
// bigger than 2 billion

double d = 1 / 5; // If the programmer’s intent was to get 0.2, this is
// using the wrong operation: / is overloaded for
```

// both integer division and floating point division.  
// what happens?

int m = 5;
m = m/0;
// division by zero can’t produce an integer. what happens?

double e = 1;
e = e/0;
// division by zero can’t produce a real number either – but
// what happens in this case?

Arrays and Collections

Let’s change our hailstone computation so that it stores the sequence in a data structure, instead of just printing it out. Java has two kinds of list-like types that we could use: arrays and Lists.

Arrays are fixed-length sequences of another type $T$. For example, here’s how to declare an array variable and construct an array value to assign to it:

```java
int[] a = new int[100];
```

The int[] array type includes all possible array values, but a particular array value, once created, can never change its length. Operations on array types include:

- indexing $a[2]$
- assignment $a[2]=0$
- length $a.length$ (note that this is different syntax from String.length() – a.length is not a method call, so you don’t put parentheses after it)

Here’s a crack at the hailstone code using an array. We start by constructing the array, and then use an index variable $i$ to step through the array, storing values of the sequence as we generate them.

```java
int[] a = new int[100];  // <==== DANGER WILL ROBINSON
int i = 0;
int n = 3;
while (n != 1) {
    a[i] = n;
    i++;  // very common shorthand for i=i+1
    if (n % 2 == 0) {
        n = n / 2;
    } else {
        n = 3 * n + 1;
    }
}
a[i] = n;
i++;
```

Something should immediately smell wrong in this approach. What’s that magic number 100? What would happen if we tried an $n$ that turned out to have a very long hailstone sequence? It wouldn’t fit in a length-100 array. We have a bug. Would Java catch the bug statically, dynamically, or not at all? Incidentally, bugs like these -- overflowing a fixed-length array, which are commonly used in less-safe languages like C and C++ that don’t do automatic runtime checking of array accesses – have been responsible for a large number of network security breaches and internet worms.
Instead of a fixed-length array, let’s use the List type. Lists are variable-length sequences of another type $T$. Here’s how we can declare a List variable and make a list value:

```java
List<Integer> l = new ArrayList<Integer>();
```

And here are some of its operations:

- indexing `list.get(2)`
- assignment `list.set(2, 0)`
- length `list.size()`

Note that List is an interface, a type that can’t be constructed directly with new, but that instead specifies the operations that a List must provide. We’ll talk about this notion in a future lecture on abstract data types. ArrayList is a class, a concrete type that provides implementations of those operations. LinkedList isn’t the only implementation of the List type, though it’s the most commonly used one. Linked List is another. Check them out in the Java API documentation, which you can find by searching the web for “Java API”. Get to know the Java API docs, they’re your friend. (“API” means “application programmer interface,” and is commonly used as a synonym for “library.”)

Note also that we wrote `List<Integer>` instead of `List<int>` . Unfortunately can’t write `List<int>` in direct analog to `int[]`. Lists only know how to deal with object types, not primitive types. In Java, each of the primitive types (which are written in lowercase and often abbreviated, like int) has an equivalent object type (which is capitalized, and fully spelled out, like Integer). Java requires us to use these object type equivalents when we parameterize a type with angle brackets; as far as I know, the only reason for this requirement is to remind the programmer that the list actually contains objects, which use more memory than primitive values. But in other contexts, Java automatically converts between int and Integer, so we can write `Integer i = 5` without any type error.

Here’s the hailstone code written with Lists:

```java
List<Integer> l = new ArrayList<Integer>();
int n = 3;
while (n != 1) {
    l.add(n);
    if (n % 2 == 0) {
        n = n / 2;
    } else {
        n = 3 * n + 1;
    }
}
```

Not only simpler but safer too, because the List automatically enlarges itself to fit as many numbers as you add to it (until you run out of memory, of course).
Iterating

A for loop steps through the elements of an array or a list, just as in Python, though the syntax looks a little different. For example:

```java
// find the maximum point of a hailstone sequence l
int max = 0;
for (int x : l) {
    max = Math.max(x, max);
}
```

You can iterate through arrays as well as lists. The same code would work if the list `l` were replaced by an array `a`.

Math.max() is a handy function from the Java API. The Math class is full of useful functions like this -- search for “java Math” on the web to find its documentation.

Methods

In Java, statements generally have to be inside a method, and every method has to be in a class, so the simplest way to write our hailstone program looks like this:

```java
public class Hailstone {
    /**
     * Compute a hailstone sequence.
     * @param n  Starting number for sequence. Assumes n > 0.
     * @return hailstone sequence starting with n and ending with 1.
     */
    public static List<Integer> hailstoneSequence(int n) {
        List<Integer> l = new ArrayList<Integer>();
        while (n != 1) {
            l.add(n);
            if (n % 2 == 0) {
                n = n / 2;
            } else {
                n = 3 * n + 1;
            }
        }
        l.add(n);
        return l;
    }
}
```

Let’s explain a few of the new things here.

`public` means that any code, anywhere in your program, can refer to the class or method. Other access modifiers, like `private`, are used to get more safety in a program, and to guarantee immutability for immutable types. We’ll talk more about them in an upcoming lecture.
static means that the method doesn’t take a self parameter -- which in Java is implicit anyway, you won’t ever see it as a method parameter. Static methods can’t be called on an object. Contrast that with the List add() method or the String length() method, for example, which require an object to come first. Instead, the right way to call a static method uses the class name instead of an object reference:

\[
\text{Hailstone.hailstoneSequence(83)}
\]

Take note also of the comment before the method, because it’s very important. This comment is a specification of the method, describing the inputs and outputs of the operation. The specification should be concise and clear and precise. The comment provides information that is not already clear from the method types. It doesn’t say, for example, that n is an integer, because the \text{int n} declaration just below already says that. But it does say that n must be positive, which is not captured by the type declaration but is very important for the caller to know.

We’ll have a lot more to say about how to write good specifications in a few lectures, but you’ll have to start reading them and using them right away.

**Type Hierarchy and Safety**

Object types can be arranged in a hierarchy. Here is such a hierarchy showing some of the object types we have discussed:

```
All these types correspond to classes. The root of the tree, Object, is a superclass, directly or indirectly, of every other class.

A key property of this tree is that every type in this tree has all the operations of its ancestors. Strings, Numbers, and ArrayLists can all behave like Objects – for example, they all support the equals() method, for example, which tests whether two objects have the same value.

You can see that ArrayList is actually positioned quite deep in the tree: its code is built by inheritance from the classes AbstractCollection and AbstractList, which provide skeletal implementations of collections and lists respectively.

Not every type is a class, though. Java has specification types, called interfaces, that do not correspond to executable code. An interface is just a collection of method signatures. A class that satisfies the specification of an interface is said to implement it; this is indicated in the text of the class by the keyword \text{implements}. A variable can be declared to have an interface type, and interfaces thus contribute to the type hierarchy. Here is a fragment of the type hierarchy that shows some interfaces implemented by ArrayList:
The interface names are italicized to distinguish them from the names of classes.

Because the runtime type of an object is given by the constructor that created it, and because interfaces have no code, it follows that the runtime type of an object is always a class. The declared type of a variable can be a class or an interface. We'll say that a type (interface or class) $T$ is a subtype of a type $T'$ if there is a path going up in the type hierarchy from $T$ to $T'$. The edges in the path may be extends or implements edges.

Given this background, we can now state the key type safety property of Java. Java is said to be a statically typed language. What this means is that the static types—the types that appear in declarations in the program text—tell you something about what will happen when the program runs:

**Static typing rule:** If a variable of (static) type $T$ holds a reference to an object of (runtime) type $T'$, then $T'$ is a subtype of $T$.

Often $T'$ is identical to $T$—e.g., a variable with static type String holds a reference to a String object at runtime. But looking back at our definition of the hailstoneSequence method, we'll see an example of a case where the static type of the variable was not exactly the same as the runtime type:

```java
List<Integer> l = new ArrayList<Integer>();
```

$l$ has static type List<Integer>, and runtime type ArrayList<Integer>.

**Snapshot Diagrams**

It will be useful for us to draw pictures of what's happening at runtime, in order to understand subtle issues. For example, here's how we would draw the data structures produced by our two variants of the hailstone sequence, when the starting $n$ is 7:

A couple of things are worth noting:
• the array is fixed size, always 100 elements. Java happens to initialize the extra entries at the end of the array to 0 (that’s a requirement of the Java language definition), but arrays in many languages do not have that guarantee, and you may start out with completely arbitrary garbage values at the end of your array. So it’s good not to depend on automatic initialization when you program.

• the ArrayList only has as many elements as the hailstone sequence code actually added.

• both kinds of sequences are indexed starting from 0.

• the array points to primitive int values, but the ArrayList actually points to Integer objects that in turn refer to primitive int values. We will sometimes omit this distinction in future pictures of ArrayList<Integer>, and just draw arrows straight to ints. But for now here’s the gory detail.

• the Integer objects are immutable (shown by the double border) and the references from them are also immutable (shown by a stroke across the arrow). Once an Integer object is created for a particular integer value, it represents that value for its entire lifetime. More on immutability in the next section.

• the array and ArrayList<Integer> objects are mutable (shown by the single-line border). References from the numbered cells (0...99 in the array, 0..16 in the ArrayList) can be reassigned to point to other values.

Refer to the Lecture 1 Addendum for more about the syntax of snapshot diagrams.

Mutating Values vs. Reassigning Variables

Snapshot diagrams give us a way to visualize the distinction between changing a variable and changing a value. When you assign to a variable, you’re changing where the variable’s arrow points. You can point it to a different value.

When you assign to the contents of a mutable value – such as an array or list – you’re changing references inside that value.

Change is a necessary evil. Good programmers avoid things that change, because they may change unexpectedly.

Immutability (immunity from change) is a major design principle in this course. Immutable types are types whose values can never change once they have been created. (At least not in a way that’s visible to the outside world – there are some subtleties there that we’ll talk more about in a future lecture about immutability.) Which of the types we’ve discussed so far are immutable, and which are mutable?

Java also gives us immutable references: variables that are assigned once and never reassigned. To make a reference immutable, declare it with the keyword final:

```
final int n = 5;
```

If the Java compiler isn’t convinced that your final variable will only be assigned once at runtime, then it will produce a compiler error. So final gives you static checking for immutable references.

It’s good practice to use final for declaring the parameters of a method and as many local variables as possible. Like the type of the variable, these declarations are important documentation, useful to the reader of the code and statically checked by the compiler.

There are two variables in our hailstoneSequence method: can we declare them final, or not?

```
public static List<Integer> hailstoneSequence(final int n) {
    final List<Integer> l = new ArrayList<Integer>();
```
Documenting Assumptions

Writing the type of a variable down documents an assumption about it: e.g., *this variable will always refer to an integer*. Java actually checks this assumption at compile time, and guarantees that there’s no place in your program where you violated this assumption.

Declaring a variable final is also a form of documentation, a claim that the variable will never change after its initial assignment. Java checks that too, statically.

We documented another assumption that Java (unfortunately) doesn’t check automatically: that n must be positive.

Why do we need to write down our assumptions? Because programming is full of them, and if we don’t write them down, we won’t remember them, and other people who need to read or change our programs later won’t know them. They’ll have to guess.

Programs have to be written with two goals in mind:

- communicating with the computer. First persuading the compiler that your program is sensible -- syntactically correct and type-correct. Then getting the logic right so that it gives the right results at runtime.
- communicating with other people. Making the program easy to understand, so that when somebody has to fix it, improve it, or adapt it in the future, they can do so.

Hacking vs. Engineering

We’ve written some hacky code in this lecture. Hacking is often marked by unbridled optimism:

- ✗ writing lots of code before testing any of it
- ✗ keeping all the details in your head, assuming you’ll remember them forever, instead of writing them down in your code
- ✗ assuming that bugs will be nonexistent or else easy to find and fix

But software engineering is not hacking. Engineers are pessimists:

- ✔ write a little bit at a time, testing as you go. In a future lecture, we’ll talk about test-first programming.
- ✔ document the assumptions that your code depends on
- ✔ defend your code against stupidity – especially your own! Static checking helps with that.

Our primary goal in this course is learning how to produce software that is:

- **Safe from bugs.** Correctness (correct behavior right now), and defensiveness (correct behavior in the future).

- **Easy to understand.** Has to communicate to future programmers who need to understand it and make changes in it (fixing bugs or adding new features). That future programmer might be you, months or years from now. You’ll be surprised how much you forget if you don’t write it down, and how much it helps your own future self to have a good design.

- **Ready for change.** Software always changes. Some designs make it easy to make changes; others require throwing away and rewriting a lot of code.

There are other important properties of software (like performance, usability, security), and they may trade off against these three. But these are the Big Three that we care about in 6.005, and that software developers generally put foremost in the practice of building software. It’s worth
considering every language feature, every programming practice, every design pattern that we study in this course, and understanding how they relate to the Big Three.

The main idea we introduced today is static checking. It helps with safety by catching type errors before runtime. It also helps with understanding, because types are explicitly stated in the code.

Why we use Java in this course

Since you've had 6.01, we’re assuming that you're comfortable with Python. So why aren’t we using Python in this course? Why do we use Java in 6.005?

**Safety** is the first reason. Java has static checking (primarily type checking, but other kinds of static checks too, like that your code returns values from methods declared to do so). We’re studying software engineering in this course, and safety from bugs is a key tenet of that approach. Java dials safety up to 11, which makes it a good language for learning about good software engineering practices. It’s certainly possible to write safe code in dynamic languages like Python, but it’s easier to understand what you need to do if you learn how in a safe, statically-checked language.

**Ubiquity** is another reason. Java is widely used in research, education, and industry. Java runs on many platforms, not just Windows/Mac/Linux. Java can be used for web programming (both on the server and in the client), and native Android programming is done in Java. Although other programming languages are far better suited to teaching programming (Scheme and ML come to mind), regrettably these languages aren’t as widespread in the real world. Java on your resume will be recognized as a marketable skill. But don’t get us wrong: the real skills you’ll get from this course are not Java-specific, but carry over to any language that you might program in. The most important lessons from this course will survive language fads: safety, clarity, abstraction, engineering instincts.

In any case, a good programmer must be multilingual. Programming languages are tools, and you have to use the right tool for the job. You will certainly have to pick up other programming languages before you even finish your MIT career (Javascript, C/C++, Scheme or Ruby or ML or Haskell), so we’re getting started now by learning a second one.

As a result of its ubiquity, Java has a wide array of interesting and useful libraries (both its enormous built-in library, and other libraries out on the net), and excellent free tools for development (IDEs like Eclipse, editors, compilers, test frameworks, profilers, code coverage, style checkers). Even Python is still behind Java in the richness of its ecosystem.

There are some reasons to regret using Java. It’s wordy, which makes it hard to write examples on the board. It’s large, having accumulated many features over the years. It’s internally inconsistent (e.g. `final` means several different things, and the `static` keyword in Java has nothing to do with static checking), and weighted with the baggage of older languages like C/C++ (the primitive types and the `switch` statement are good examples). It has no interpreter like Python’s, where you can learn by playing with small bits of code. It has no lambda expressions, continuations, or tail recursion, so functional programming is less fun in Java. For some parts of the course, we will switch back to Python for these reasons.

Books about Java

There are many good books on Java, and a few good web sites. When we use new bits of Java in lecture, the lecture notes will include links to a free web resource called *The Java Tutorial* published by Oracle. When the Java Tutorial does not provide sufficient detail, we direct you to sections in other books.

Here are some other good books about Java:
• **Head First Java**, by Sierra and Bates. This is a good introduction for beginners. The book is available online through Safari through the MIT library.

• **Java in a Nutshell, 5th Edition**, by Flanagan. This is a practical introduction to Java for people who already are comfortable with programming. It is not deep, but is useful for quickly gaining skills. It is roughly equivalent to the Java Tutorial, and is also available online through Safari.

• **The Java Programming Language, 4th Edition**, by Arnold, Gosling, and Holmes. This is the definitive source on Java. It explains (and justifies) every feature of the language. It is useful if you want to understand a tricky issue, but usually less useful than other Java references (because it is very detailed and presents all the features of the language in detail, when some of them are not very useful). It is not available on-line.

• **Effective Java**, by Bloch. This book contains itemized advice for Java programmers on several issues. The importance of many of these issues transcends Java. The book contains fantastic insights, directing you to effectively use features of the language and its libraries to develop good Java programs. It is not available online, but we strongly recommend you *read this book for 6.005 and use it as a reference*. It will be most readable and useful after you already know some Java.

• Many other Java books are available through Safari and Books 24x7, another on-line collection of books available through the MIT libraries.

### Books about Software Engineering

Here are some books about software development in general that are worth your time to read and keep on your bookshelf:


• Erich Gamma, Richard Helm, Ralph Johnson, John Vlissides. *Design Patterns: Elements of Reusable Object-Oriented Software*. Addison-Wesley, 1995. The seminal book on design patterns, usually referred to as the "Gang of Four book". Organized as a catalog.